



FY20 Operating Support Grant Guidelines

Deadline: March 20, 2019

ARTSFAIRFAX
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Introduction

As Fairfax County's designated local arts agency, **ARTSFAIRFAX** creates and nurtures an environment in which the arts and artists flourish. Through partnerships with schools, individual artists, arts organizations, and other cultural and community groups, **ARTSFAIRFAX** promotes and encourages excellence in the arts by initiating and supporting programs, activities, and policies that inspire, nurture, and reflect the cultural diversity of Fairfax County.

Purpose of the *Operating Support Grant Program*

ARTSFAIRFAX's Operating Support program advances the arts in Fairfax County by supporting the organizational goals and objectives of the county's arts institutions, helping them maintain and develop their programs, services, and facilities. Operating Support Grants seek to maintain organizational stability as a platform for the growth of the arts in Fairfax County. As **ARTSFAIRFAX's** largest grant program, Operating Support generates recognition for a broad spectrum of the county's cultural organizations.

Goals of the *Operating Support Grant Program*

Through the *Operating Support Grant Program*, **ARTSFAIRFAX** seeks:

- To provide support for established arts organizations and the development of new organizations;
- To encourage wide participation in the arts through diverse cultural programming available to all residents of Fairfax County;
- To promote opportunities for individual artists and arts organizations of all disciplines to create, present, and celebrate their artistic endeavors;
- To be a catalyst for increased private sector support for arts organizations through leveraged public funding.

Organizational Eligibility

All applicants must meet the following criteria to apply to the *Operating Support Grant Program*. Staff reviews all grant applications for eligibility prior to the review process.

1. An organization is eligible to apply to the *Operating Support Grant Program* if:
 - It has as a core mission the creation, exhibition, presentation, production, or performance of and/or education in an arts discipline.
 - It has regular activity in a minimum of four different months in the year or 120 days of the year.
2. An organization must:
 - Be in compliance with all local, state, and federal laws; and
 - Hold current or pending status under IRS code 501(c)(3); or
 - Operate as a specifically defined arts division, or have its own arts program identity, within a larger nonprofit 501(c)(3) organization; or
 - If an organization does not hold 501(c)(3) status in its own right, a fiscal sponsor that holds 501(c)(3) status may apply on its behalf. The fiscal sponsor must manage all grant funds and ensure compliance with the terms of the grant if an award is made.

3. The organization or the division/program that is applying must have an address of record within the geographical boundaries of Fairfax County, the City of Fairfax, or the City of Falls Church and satisfy TWO of the following four criteria for at least one year:
 - Present at least 51% of public artistic activities within the geographical boundaries of Fairfax County, the City of Fairfax, or the City of Falls Church.
 - Meet, rehearse, exhibit, and operate within the geographical boundary of Fairfax County, the City of Fairfax, or the City of Falls Church.
 - Have 51% of board of directors' members work or reside in Fairfax County, the City of Fairfax, or the City of Falls Church.
 - Verify that 51% of attendees at performances, exhibits, events, and activities reside in Fairfax County, the City of Fairfax, or the City of Falls Church evidenced through acceptable statistical data including but not limited to: ticket analysis, subscription data, box office surveys, and audience surveys.
4. The applicant must have submitted all required final reports for any grant(s) received from **ARTSFAIRFAX** by the deadline.
5. An organization must have independently prepared financial statements such as an audit or a treasurer's report (audit required for organizations with expenses of \$600,000 and above).
6. Organizations that receive line item funding from Fairfax County, schools or departments of George Mason University, and non-profit organizations and foundations that support county programs are only eligible to apply for Project Support grants, not Operating Support, Organizational and Professional Development, or Fast Track grants.

Grant Restrictions

All Operating Support Grant Program grants must:

- Support activities, programs, or projects occurring within Fairfax County, the City of Fairfax, or the City of Falls Church;
- Have qualified artistic or scholarly, administrative, and management leadership (either professional or volunteer) capable of completing any grant-supported activities, programs, or projects;
- Occur within the dates of July 1, 2019 and June 30, 2020.

Operating Support Grant Program grants cannot be used for:

- Fundraising activities, such as capital campaigns or endowment campaigns;
- Construction or renovation projects;
- Political advocacy costs;
- Activities not open to the general public;
- Debt retirement;
- Pre-grant Costs;
- Proselytizing and promoting any one faith over another;
- Events that would be inappropriate for a diverse, multicultural and multigenerational audience.

Matching Requirements

1. Every *Operating Support Grant Program* grant requires a **two-to-one** match by the applicant.
2. The matching funds may come from any source except **ARTSFAIRFAX** or the Fairfax County government. Potential sources of your matching funds include foundation grants, private donations, ticket sales, and member dues.
3. In-kind contributions may only consist of donated goods.
4. The value of all in-kind contributions shown as income must be offset by line item expenses in the total budget.
5. The value of in-kind goods must be documented with invoices, billing statements, or donation letters. To the extent possible, documentation about the donor and the value of in-kind goods should be provided with the application. If the grant is awarded, this documentation must be provided with the final report required at the end of the grant period.

Budget Levels

Organizations fall within one of five budget levels within the *Operating Support Grant Program*. If an applicant is an arts division/program within a larger nonprofit 501(c)(3) organization, then the arts division/program's budget should determine its Budget Level, not the larger nonprofit's budget size. Applicants using a fiscal sponsor should use the applicant organization budget, not the fiscal agent. Do not include in-kind expenses in the budget level calculation.

For the *Operating Support Grant Program*, your budget size is determined by the "Total Expenses" you describe for your most recently completed fiscal year on the Budget Form. "Pass through money," money that is collected by the organization and then immediately dispersed, as in the case of collecting art sales and then distributing that money back to the individual artist, should not appear in an organization's budget as income. If the organization collects a portion or percentage of that money then only that portion can show as income.

Pass through money is money collected by an organization and then immediately disbursed. Examples include:

- funds collected by an organization that sells art on behalf of an artist and then distributes that money to the individual artist;
- organizations that collect costume fees from individual participants, and then immediately purchase costumes for individual participants;
- money that is collected from individuals for travel purposes, which is then spent on travel.

Some monies spent for fundraising purposes are pass through money. If an organization sells an item for fundraising purposes (for example, wreaths or ornaments), the purchase of those fundraising items cannot be included in the organization's income and expenses. Only the profits from the fundraiser should be included in the income category.

Level 1 under \$50,000.

Level 2 \$50,000-\$100,000.

Level 3 \$100,000-\$250,000

Level 4 \$250,000-\$500,000

Level 5 over \$500,000

Review Process

Following the grant application deadline, **ARTSFAIRFAX** staff determines whether an applicant is eligible and whether an application is complete. Staff may contact applicants for information needed to make an eligibility determination. If an applicant is determined to be ineligible as an official applicant, the application will be rejected without evaluation. Incomplete applications are subject to rejection without evaluation. If an application is rejected, the applicant will be notified by e-mail.

All eligible applications for the *Operating Support Grant Program* are evaluated by panel review. Panelists are arts professionals with a particular knowledge of or expertise in the segments of the arts community that are eligible to apply to **ARTSFAIRFAX** for funding. Panel meetings are open to the public and applicants will be notified of the schedule for the panel meeting and are encouraged to attend.

Advisory Review Panels usually comprised of approximately 5 individuals who have no conflict of interest, will review and evaluate each eligible application on its own merit. **ARTSFAIRFAX** selects panel members for their diverse backgrounds, expertise in a particular arts discipline, and knowledge of the community.

The **ARTSFAIRFAX** Board of Directors makes the final funding decisions on the basis of the evaluations by the panels, available funds, and the overall goals of the *Operating Support Grant Program* and of the **ARTSFAIRFAX**.

Evaluation Criteria

Panelists will evaluate each grant request based on the criteria below:

1. Quality of Programs

- Do the programs effectively fulfill the applicant's mission?
- Do the programs demonstrate support and stimulation of artistic growth and excellence for Fairfax County artists?
- Do the programs offer the public greater availability to under-represented artists and art forms?
- Does the applicant demonstrate artistic excellence as shown by the qualifications, background, and experience of the artists?
- Does the applicant offer public artistic activities that reflect the diversity of the population of Fairfax County?
- Have previous programs been received positively?

2. Community Outreach

- Is broader engagement with community evident in community satisfaction with the applicant's programs?
- Are the applicant efforts to understand Fairfax County's cultural diversity and response to community needs clear?
- Does the applicant work to attract and serve a diverse range of participants and audiences, including the disabled?
- Is access to the arts for all residents of Fairfax County, especially underserved populations (youth, the elderly, the disabled, minorities, ethnic communities, and those in underserved areas), evident in programs and outreach activities?

- Are the applicant's activities promoted using up-to-date tools and with an understanding of targeted communities and how they contribute to the community's quality of life and cultural vitality?

3. Organizational Effectiveness

- Does the applicant have active involvement, stability, and strong leadership in board, staff, and volunteers?
- Does the background of management and professional staff qualify them for their positions?
- Does the organization have goals for the coming year?
- Is there evidence of long-range, strategic planning?
- Is there evidence of program evaluation?
- Does the applicant have a history of balanced budgets and a diversified base of financial support?

4. Appropriateness of Budget

- Does the applicant have a reasonable, clear, and complete budget?
- Does the applicant appear financially stable, with a realistic budget?
- Does the applicant have income from a variety of earned and unearned sources?

Terms and Conditions of a Grant

All activities supported by *Operating Support Grant Program* grants shall take place within the fiscal year for which the grant is awarded between July 1, 2019 and June 30, 2020.

Duration of a Grant

Generally, activities supported by *Operating Support Grant Program* grants may be carried out for one year, between July 1, 2019 and June 30, 2020.

Organizational Eligibility

Grant recipients must continue to meet all *Operating Support Grant Program* eligibility requirements during the grant period. Grant recipients are required to notify **ARTSFAIRFAX** Grants staff in writing of any major financial, leadership, or programmatic changes to their organization.

Amount of Grant

Operating Support Grant Program grants range from \$500 to \$50,000. An organization can request no more than 15 percent of their actual expenses as described on the Budget Form for the most recently completed fiscal year. Do not include in kind expenses. Applicants may be granted an amount less than requested.

Addendum

If there are substantial changes in the organization's programming, staffing, and/or funding after the application has been submitted, the organization may submit an addendum explaining these accomplishments and/or challenges and how this effects the application. The addendum may be submitted during the time after the application deadline until 1 week before the panel meeting.

Matching Requirement

Every *Operating Support Grant Program* requires a two-to-one match by the applicant. See Matching Requirements, for more information on the cost share requirements.

Use of Funds

Operating Support grants may be used for a broad range of activities. Grant funds may not be used for fundraising activities, such as capital campaigns or endowment campaigns, construction or renovation projects, political advocacy costs, activities not open to the general public, debt retirement, pre-grant costs, proselytizing, promoting one any one faith over another or events that would be inappropriate for a diverse, multicultural and multigenerational audience. All listed expenses must be incurred during the grant period.

Acknowledgement of ARTSFAIRFAX Funding

ARTSFAIRFAX requires acknowledgement of **ARTSFAIRFAX**'s support for all public programs and services. In order to raise the visibility of your organization as well as that of the arts and non-profit cultural organizations in Fairfax County, **ARTSFAIRFAX** requires the following:

- Credit **ARTSFAIRFAX** as a donor on your website and all printed materials through the use of the **ARTSFAIRFAX** logo and the statement, "This program is funded in part by **ARTSFAIRFAX**."
- Grant recipients are required to follow the instructions in the Grant Communications Kit, and send copies to **ARTSFAIRFAX** of all materials that are distributed to the public.

- Create a directory listing and submit your events on the **ARTSFAIRFAX** website: https://artsfairfax.org/login/?redirect_to=https%3A%2F%2Fartsfairfax.org%2Faccount%2F
- Include an **ARTSFAIRFAX** grant award announcement in your printed programs. The three sizes are commensurate with your award amount: over \$10,000 full page, \$5,000-\$9,999 half page, and under \$5,000 quarter page. The announcements are available in both color and black and white. Contact **ARTSFAIRFAX** staff for customized copy.

Announcement of Award

No information about the status of an application will be released until all applications have been reviewed and all negotiations are concluded. **ARTSFAIRFAX** will notify applicants of final decisions in July, 2019.

Payment and Reporting Procedures

Grants recipients will receive 70 percent of the award amount at the time of the grant announcement. Grantees are required to submit a final report at the end of the grant period (due August 1, 2020). Upon approval of the final report, the remaining 30 percent of the award amount will be disbursed to the grant recipient. The final report is to be submitted on line including copies of all materials distributed to the public such as catalogues, programs, brochures, flyers, press releases, and other publicity materials. The materials may be scanned and attached to the final report or sent to the **ARTSFAIRFAX** before the end of the grant period.

Record Keeping

Grantee shall maintain an accurate record of the grant received and all expenses incurred under this grant and retain such books and records for at least four years after completion of the use of this grant.

Cancellation

ARTSFAIRFAX reserves the right, in its sole discretion, to discontinue funding if it is not satisfied with the progress of the grant or the content of any required written report. In the event of discontinuation or at the close of the grant period, any unexpended funds shall immediately be returned to **ARTSFAIRFAX**, except where **ARTSFAIRFAX** has agreed in writing to an alternative use of the unused funds.

Mandatory Participation in Research

During the grant period, **ARTSFAIRFAX** may request grantees participate in local, regional, or national arts studies. The studies may be related to, but are not limited to: audience spending, organization management, or facility usage and planning. Grantees are required to assist **ARTSFAIRFAX** by completing these studies.

Advocacy Requirements

Grantees are required to write a note to both the Chairman of the Board of Supervisors and the Supervisor for your organization's magisterial district (if applicable) thanking them for appropriating the grant funds. The letters should provide information about how the grant funds were used to support your organization, and the community impact. Grantees should also invite their District Supervisor to the funded programs.

Grant Preparation Assistance

ARTSFAIRFAX will conduct a free information session to instruct prospective applicants on how to complete an *Operating Support Grant* application. **ARTSFAIRFAX** strongly encourages attendance by all potential applicants. An applicant's attendance at the session will not affect the evaluation of the application. The information session will be held

On February 22, 2019 from noon to 1:30pm

At 2985 District Avenue, Suite 100

Merriefield, VA

To register, please email kcraine@artsfairfax.org

Please note that access to the space is from Merriefield Cinema Road behind the building with Brine, Sisters Thai and Cheesetique and parallels District Avenue.

For further information including the information session presentation and additional resources please consult **ARTSFAIRFAX** website, or if you have questions and cannot attend the workshop, please contact Kimber Craine, Grants Manager by e-mail at kcraine@artsfairfax.org or by telephone at (703) 642-0862, ext. 6.

Grant Writing Tips

- Write clearly and concisely.
- Do not use jargon.
- Remember that the people who are reading your application may know nothing about your organization or your programs.
- Check narrative and other text for grammar and spelling errors.
- Do not repeat information in different narrative sections.
- Keep in mind that **ARTSFAIRFAX** staff does not participate in panel discussions. The application must stand on its own merits.
- Be sure that when a reader has finished reviewing your grant application, s/he will know your organization.
- Verify that all contact information in your application is correct, including e-mail addresses and phone numbers.
- Financial information referred to in the narrative should match the numbers on the Budget Form.
- Use **ARTSFAIRFAX** as a resource. If you have any questions, call at (703) 642-0862, or e-mail grants@artsfairfax.org.

Uploads

Submission of Required Documents, Work Samples and Supplementary Materials is mandatory. Work Samples and Supplementary Materials should describe recent (within the last two years) activities. You may provide links to images, audio clips and/or online video (1280x720 resolution) samples. You can submit up to 3 Work Samples and 5 Supplemental materials. Uploads may consist of installation shots, programs, brochures, catalogs, study guides, posters, newsletters, other promotional materials, newspaper reviews, articles, testimonials, letters of support, and awards. Online media entry is limited to a maximum running time of five minutes. Acceptable file upload types are listed with each question.

Each applicant has 800 MB space for uploaded files. You may include links to work samples and supplementary materials in the required cover sheet.

Required Documents:

1. IRS Letter of Determination for your organization and/or your parent organization
2. Most recent IRS 990 submission (do not include schedules)
3. List of Board of Directors that includes profession, work address, and place of residence. Please highlight members who work or live in Fairfax County.
4. Treasurer's Report or Audit for most recently completed fiscal year. An audit required if applicant's expenses exceed \$600,000.
5. List of Key Board Members and Staff with bios
6. Fiscal Year to date budget
7. Cover sheet for supplemental materials and work samples including links to online media and descriptions

Name the files as TR/A/Budget/IRS/990_Organization Name*.

*File naming Key for required documents:

TR = Treasurer's Report

A = Audit

Budget = Fiscal Year to Date Budget

IRS = IRS Letter of Determination

990 = 990 Tax form

Work Samples:

Applicants are required to submit samples of their work. The samples should reflect the highest professional standards in the quality of artwork documented and in the manner in which they are presented. The panel's judgment of artistic merit will be based upon the quality of the work submitted.

A description of each work should include the applicant's name, the work's name, as well as date of creation and date of performance/publication if appropriate. Due to the number of applications received, the panel will review a **maximum of five minutes** of recorded material per applicant.

Submit **up to three** recent examples of original work not to exceed a **total of five minutes** multimedia format.

- Samples may be excerpts or complete works.
- Submit work produced in the **last two years**.
- Work Samples may be submitted as PDF, DOC, DOCX, JPG, MOV, MP3, MP4, PNG, PPT, WAV, XLS, and XLSX files.
- Links to video work examples may be included in the cover sheet, each in a different line. Include the applicant's name, title of work and date of completion, as well as a brief description of the piece and applicant's role in the development and execution of the work.

- Name the file (**Work-Sample_ Organization Name_01**).

Supplemental Materials:

Submit **up to five** Supplemental Materials that describe recent activities (within the last two years). Supplemental materials could include, but are not limited to programs, brochures, catalogs, posters, newsletters, other promotional materials, newspaper reviews, articles, testimonials, letters of support, or awards.

- Supplemental materials may be submitted as PDF, DOC, DOCX, JPG, MOV, MP3, MP4, PNG, PPT, WAV, XLS, and XLSX files
- Name each file SM_ *Organization Name* _01.

Links to supplementary materials may be included in the cover sheet, each in a different line with a brief description.

Submitting Your Application

All Operating Support Grant Program applications must be submitted via the online application system no later than March 20, 2019 at midnight/12p.m. Late applications will not be accepted. Hard copies of supplementary materials will not be accepted.

Appeals Process

Unless there are grounds for an appeal, all funding decisions of **ARTSFAIRFAX** are final.

ARTSFAIRFAX funding decisions may be appealed only upon the following grounds:

1. The Applicant's grant application was denied based on criteria other than those set forth in the applicable guidelines, where the application of such improper criteria was open and obvious and there was also no legitimate basis for denial under the applicable guidelines;
2. The Applicant's grant application was denied based on the grant panel's failure to apply the applicable guidelines, where such failure is open and obvious and not merely a subjective evaluation of the merits of the application;
3. The Applicant's grant application was denied based on the grant panel's failure to consider relevant evidence presented in support of the grant application, where such failure was both open and obvious and not merely the result of the Applicant's failure to properly identify or present such evidence; and/or
4. The Applicant's grant application was denied as the direct result of an undisclosed conflict of interest between the Applicant and a member of the grant panel, where, but for such conflict, the Applicant's grant application would not have been denied.

Other than the grounds listed above, there are no other grounds for an appeal, including, but not limited to, general dissatisfaction with the process, dissatisfaction with the amount awarded, dissatisfaction with the qualifications of the members of the grant panel, disagreement with an opinion of a member of the grant panel, or the Applicant's desire to submit additional evidence.

To file an appeal, an Applicant must submit a written appeal request directly to **ARTSFAIRFAX**. Such appeal must be received by **ARTSFAIRFAX** within fourteen (14) calendar days of the date of the applicant's notification letter from **ARTSFAIRFAX** with regard to the funding decision being appealed. An appeal must state the grounds for the appeal and provide clear and convincing evidence in support of such grounds. No evidence or supporting materials which could have been provided as part of the Applicant's original grant application will be considered.

All appeal requests will be reviewed by the Grants Committee of **ARTSFAIRFAX** 's Board of Directors. Appeals will be reviewed within sixty (60) calendar days from the date the written appeal request was received by **ARTSFAIRFAX**. If the Grants Committee determines that there exist grounds for an appeal, the Grant Committee will re-evaluate the application. All decisions of the Grant Committee with regard to any re-evaluation will be final. If the Grants Committee determines that there are no grounds for an appeal, then the original decision shall become final without further recourse or reconsideration. All decisions of the Grants Committee with regard to appeal requests are final without further recourse or reconsideration.